0 4 MAY 2000

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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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`			Application Number	09/485,601				
MAY 0 4 200	TRANSMITTAL FORM		Filing Date	11 Feb. 2000 (nat. pha				
\sim			First Named Inventor	Strittmatter, S.				
ADEMARK!	(to be used for all correspondence after	(to be used for all correspondence after initial filing)						
			Examiner Name					
	Total Number of Pages in This Submis	sion 5	Attorney Docket Number	OCR-842				
		ENCLOS	SURES (check all that app	oly)				
	X Fee Transmittal Form X Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) X Response to Missing Parts/ Incomplete Application	Drawing Licensir Petition and Acc Petition Provisio Change Address Termina Small E	Routing Slip (PTO/SB/69) companying Petition to Convert to a small Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): postcard				
	X Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
	Firm Mary M. Krinsky, Reg. No. 32423 or Individual name 79 Trumbull Street, New Haven, CT 06511-3708							
	Signature Thank T	n. Vu	ns f.					
	Date May 2, 2000		<i>U</i>					
		CERTIFIC	ATE OF MAILING					
1	I hereby certify that this correspondence envelope addressed to: Assistant Commi							

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Date

2 May 2000



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Patent and Trademark Office
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A R (

U.S. APPLICATION NO.		FIRST NAMED	APPLICANT	ATTY, DOCKET NO.				
09/485601		STRITTMATTER	S	OCR-842				
MARY M KRINSKY		-	INTERNA	TIONAL APPLICATION NO.				
79 TRUMBULL STREET NEW HAVEN, CT 06511-3708				T/US98/16794				
			I.A. FILING DA					
			12 AUG S	5 APR 2000 97				
NOTIFICATION OF A	MESINIC	 REQUIREMENTS UNDER						
		GNATED/ELECTED OFFIC		THE UNITED				
1. The following items have been su	ubmitted by	the applicant or the IB to the		ent and Trademark Office as				
a Designated Office								
an Elected Office (3	37 CFR 1.4	195):						
U.S. Basic National Fee.	t	•						
Copy of the international app								
English.	age.							
Translation of the internation	al applicat	ion into English.						
Oath or Declaration of inven-								
Copy of Article 19 amendme								
Translation of Article 19 amo								
The International Preliminary								
Translation of Annexes to the Preliminary amendment(s) fi								
Information Disclosure State				<u>-</u> '				
Assignment document.	mem(s) iii	und		 '				
Power of Attorney and/or Ch	nange of A	ddress.						
Substitute specification filed								
▼ Verified Statement Claiming	Small Ent	ity Status.						
Priority Document.								
Copy of the International Sea	irch Repor	t L and copies of the referenc	es cited therein.					
2. The following items MUST be fu	ırnished w	ithin the period set forth below	in order to compl	ete the requirements for				
acceptance under 35 U.S.C. 371:		p		1				
\square a. Translation of the applicat			vill be required if	submitted later than the				
appropriate 20 or 30 months				A LAY C A B C C				
The current transl Translation.	ation is d	efective for the reasons indi	cated on the atta	iched Notice of Defective				
b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 o 30 months from the priority date (37 CFR 1.492(f)).								
c. Oath or declaration of the	inventors,	in compliance with 37 CFR 1.	497(a) and (b), id	entifying the application by				
	declaration	does not comply with 37 CFF	R 1.497(a) and (b)	for the reasons indicated				
	🗷 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority d							
3. Additional claim fees of \$	`							
due. See attached PTO-875.	nust submi	the additional claim fees or ca	incel the additiona	i claims for which fees are				
ALL OF THE ITEMS SET FORT	H IN 2(a)	2(d) AND 3 ABOVE MUST	BE SUBMITTED	WITHIN ONE MONTH				
FROM THE DATE OF THIS NOT	TICE OR	BY 🗆 21 OR 🗷 31 MONTH	S FROM THE P	RIORITY DATE FOR				
THE APPLICATION, WHICHEV	ER IS LA	TER. FAILURE TO PROP	ERLY RESPONI) WILL RESULT IN				
ABANDONMENT.								
The time period set above may be ex CFR 1.136(a).	ctended by	filing a petition and fee for ex-	tension of time un	der the provisions of 37				
4 Translation of the Annexes MUS	T be subm	itted no later that the time peri	od set above or the	e annexes will be cancelled.				
Note processing fee will be required	4. Translation of the Annexes MUST be submitted no later that the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.							
5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR.								
494(d)) or 30 (37 CFR 1.495(d)) mo	nths from	the priority date.						
Applicant is reminded that any commaddress given in the heading and incl	nunication lude the U	to the United States Patent and S. application no. shown abov	Trademark Offic e. (37 CFR 1.5)	e must be mailed to the				
A copy of this notice				nse.				
Enclosed:	U AFA UL	. 1		1 22				
PCT/DO/EO/917	☐ Not	ce of Defective Translation	1					
☐ PTO-875				AcLean, Raralegal				
FORM PCT/DO/EO/905 (Decembe	r 1997)		Telephone:	703-308-9117				

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Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$) 65.00

·						
Complete if Known						
Application Number	09/485,601					
Filing Date	Feb. 11, 2000 (nat. phase)					
First Named Inventor	S. Strittmatter					
Examiner Name						
Group / Art Unit						
Attorney Docket No.	OCR-842					

Date

May 2, 2000

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES Large Entity Small Entity					
Deposit Account 25-0110	Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)					
Number 23-0110	105 130 205 65 Surcharge - late filing fee or oath \$65.00					
Deposit Account Vala University	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.					
Name Yale University	139 130 139 130 Non-English specification					
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination					
	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
2. X Payment Enclosed: X Check Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
FEE CALCULATION	115 110 215 55 Extension for reply within first month					
	116 380 216 190 Extension for reply within second month					
1. BASIC FILING FEE Large Entity Small Entity	117 870 217 435 Extension for reply within third month					
Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month					
Code (\$) Code (\$) Fee Paid 101 760 201 380 Utility filing fee	128 1,850 228 925 Extension for reply within fifth month					
106 310 206 155 Design filing fee	119 300 219 150 Notice of Appeal					
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal					
108 760 208 380 Reissue filing fee	121 260 221 130 Request for oral hearing					
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding					
	140 110 240 55 Petition to revive - unavoidable					
SUBTOTAL (1) (\$)	141 1,210 241 605 Petition to revive - unintentional					
2. EXTRA CLAIM FEES Fee from	142 1,210 242 605 Utility issue fee (or reissue)					
Extra Claims below Fee Paid	11					
Total Claims20** = X = X	144 580 244 290 Plant issue fee					
Claims \ ^	122 130 122 130 Petitions to the Commissioner					
Multiple Dependent	123 50 123 50 Petitions related to provisional applications					
**or number previously paid, if greater; For Reissues, see below Large Entity Small Entity	126 240 126 240 Submission of Information Disclosure Stmt					
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)					
103 18 203 9 Claims in excess of 20	146 760 246 380 Filing a submission after final rejection (37 CFR § 1.129(a))					
102 78 202 39 Independent claims in excess of 3	149 760 249 380 For each additional invention to be					
104 260 204 130 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))					
109 78 209 39 ** Reissue independent clarms over original patent	Other fee (specify)					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)					
SUBTOTAL (2) (\$)	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65.00					
SUBMITTED BY Complete (if applicable)						
Name (Print/Type) Many M. Viningly	Registration No. 32423 Telephone 203-773-9544					

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